

# TRANSMITTAL FORM

Application Number 09/963,777  
 Filing Date September 26, 2001  
 First Named Inventor KIEVAL, ROBERT S.  
 Art Unit 3762  
 Examiner Name Orpeza, Frances P.  
 Attorney Docket Number 021433-000120US

(Use only for all correspondence after initial filing)

Total Number of Pages in This Submission

5

## ENCLOSURES (Check all that apply)

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|--|--|---|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Townsend and Townsend and Crew LLP  
 Signature \_\_\_\_\_  
 Printed name James M. Heslin  
 Date December 6, 2005 Reg. No. 29,541

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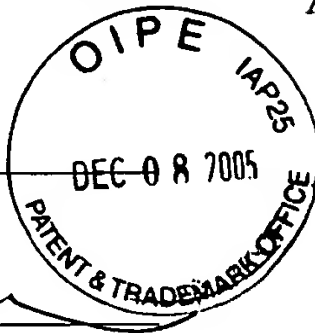
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By:

  
Jennifer O'Brien



PATENT  
Attorney Docket No.: 021433-000120US  
Client Reference No.: 1151.1106101

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

ROBERT S. KIEVEL et al.

Application No.: 09/963,777

Filed: September 26, 2001

For: ELECTRODE DESIGNS AND  
METHODS OF USE FOR  
CARDIOVASCULAR REFLEX  
CONTROL DEVICES

Examiner: Orpeza, Frances P.

Art Unit: 3762

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. A copy of the foreign reference is enclosed.

Also enclosed is a copy of the Supplementary European Search/Examination report corresponding to the European application.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

12/08/2005 HVUONG1 00000089 201430 09963777

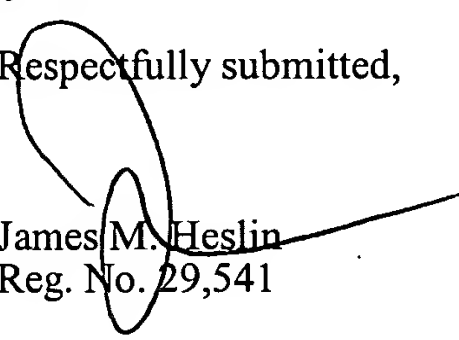
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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

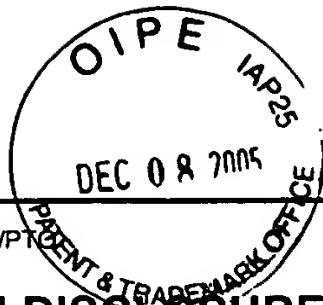
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Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
James M. Heslin  
Reg. No. 29,541

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<b>Substitute for form 1449A&amp;B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/963,777
				Filing Date	September 26, 2001
				First Named Inventor	KIEVAL, ROBERT S.
				Art Unit	3762
				Examiner Name	Orpeza, Frances P.
Sheet	1	of	1	Attorney Docket Number	021433-000120US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	4,590,946	05-27-1986	Loeb et al.	

FOREIGN PATENT DOCUMENTS								
Examiner. Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	2	WO	01/00273	A	01-04-2001	Emory University		<input checked="" type="checkbox"/>
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NON PATENT LITERATURE DOCUMENTS			
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Examiner Signature		Date Considered	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.